DAS
INTEGRITY COMPLIANCE EXCELLENCE

Stage 2 Audit
Process of
ISO Certification

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STAGE 2 AUDIT PROCESS OF ISO CERTIFICATION:-

The **Stage 2 Audit** is the **main certification audit** in the ISO certification process. It is conducted **after successful completion of Stage 1,** and its purpose is to **verify the effective implementation** of your management system in accordance with the selected ISO standard (e.g., ISO 9001, 14001, etc.).

PURPOSE OF STAGE 2 AUDIT

To confirm that the organization's management system is:

- Effectively implemented
- Complies with the ISO standard requirements
- Achieves the organization's policies, objectives, and regulatory requirement

KEY ACTIVITIES IN STAGE 2 AUDIT

1. **Opening Meeting:**
- Introduction of audit team
- Confirm scope, objectives, and audit plan
2. **On-Site Audit and Evaluation:**

The audit team assesses:

- Operational processes and activities
- Compliance with ISO standard clauses
- Roles, responsibilities, and staff awareness
- Control of documents and records
- Corrective actions on any nonconformities from internal audits or Stage 1
- Risk management and improvement actions
- Customer satisfaction, complaints handling, and legal compliance
3. **Interviews & Observations:**
- Conduct interviews with employees
- Observe daily operations and review documentation
4. **Audit Trails:**
- Follow process trails from input to output to verify effectiveness
5. **Identification of Findings**:
- Nonconformities (Major or Minor)
- Observations
- Opportunities for Improvement (OFIs)

6. Closing Meeting:

- Present audit findings
- Discuss any nonconformities
- Provide a recommendation (with or without conditions)

DELIVERABLES

Stage 2 Audit Report, which includes:

- Conformance or nonconformance details
- Audit evidence
- Auditor's recommendation for certification

OUTCOME

1. Certification Recommendation:

- If no major nonconformities: Certification is recommended.
- If major nonconformities: Corrective actions must be submitted and verified before certification.

2. Surveillance Planning:

- After certification, regular surveillance audits (usually annually) are planned to maintain the certificate.